

**Compliance with data protection regulations is very important to Corestate Capital Holding S.A.. With this data protection notice, we inform you about the processing of your personal data by Corestate Capital Holding S.A. and the rights to which you are entitled under data protection law.**

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## **1 DETAILS OF THE CONTROLLER**

The controller for data processing is:

**CORESTATE Capital Holding S.A.**, 4, Rue Jean Monet, 2180 Luxembourg

You can reach the data protection officer of CORESTATE Capital Holding S.A. as follows:

By mail: CORESTATE Capital Holding S.A., Data Protection Officer, Friedrich-Ebert-Anlage 35-37, 60327 Frankfurt, Germany

By e-mail: [datenschutz@corestate-capital.com](mailto:datenschutz@corestate-capital.com)

## **2 CATEGORIES OF PERSONAL DATA PROCESSED**

The shares of CORESTATE Capital Holding S.A. are bearer shares. Therefore, no share register is kept.

CORESTATE Capital Holding S.A. collects personal data (personal details, such as the name, address and other contact details of the shareholder, if applicable the name and address of the shareholder representative authorized by the respective shareholder, administrative data, such as the number of shares, type of ownership of the shares as well as data on participation behavior, such as attendance at the Annual General Meeting, filing of counter motions) when preparing its Annual General Meeting. In this context, CORESTATE Capital Holding S.A. processes data provided by the shareholders in the course of registering for or holding the Annual General Meeting or transmitted to CORESTATE Capital Holding S.A. by the custodian institutions for the shareholders on this occasion.

### **3 PURPOSES AND LEGAL BASIS FOR THE PROCESSING OF PERSONAL DATA**

CORESTATE Capital Holding S.A. processes your personal data in order to prepare and conduct the Annual General Meeting and to enable shareholders or their representatives to participate in the Annual General Meeting and to exercise their rights within the framework of the Annual General Meeting. Furthermore, your personal data will also be processed in order to comply with other legal obligations to which CORESTATE Capital Holding S.A. is subject. These include the fulfillment of Luxembourg and German regulatory requirements as well as share, commercial and tax retention obligations under Luxembourg law. For example, in the case of the authorization of proxies, it is prescribed that the data serving as proof of the authorization be recorded in a verifiable manner and retained for a certain period of time.

The legal basis for processing in the aforementioned cases is Art. 6 para. 1 sentence 1 lit. c GDPR.

Furthermore, personal data is processed in the legitimate interest of CORESTATE Capital Holding S.A. if this is necessary in connection with the establishment, exercise or defense of legal claims of the Company.

The legal basis for this processing is Art. 6 para. 1 sentence 1 lit. f GDPR.

Should it be intended to process your personal data for other purposes, you will be informed in advance within the framework of the legal provisions.

### **4 OBLIGATIONS TO PROVIDE THE PERSONAL DATA**

In the event of exercising your shareholder rights (e.g. participation in the Annual General Meeting), you are required by law to provide your personal data. You cannot exercise your rights without providing the personal data required by law.

## **5 RECIPIENT CATEGORIES**

For the technical and organizational handling of the Annual General Meeting, CORESTATE Capital Holding S.A. uses external service providers based in the European Economic Area (EEA), to whom your data will be transferred (for the printing and dispatch of shareholder notices, the receipt of registrations and proxies, or for the execution of the Annual General Meeting). In addition, we are assisted by lawyers and notaries in preparing and conducting the Annual General Meeting, to whom your personal data is disclosed for this purpose.

If you participate in the Annual General Meeting, other shareholders may view your personal data recorded in the list of participants. Furthermore, CORESTATE Capital Holding S.A. may be legally obliged to publish your name on its homepage if you exercise certain shareholder rights (e.g. submitting requests for additions to the agenda).

In addition, it may be necessary to transfer your personal data to authorities (e.g. Luxembourg and German supervisory authorities), insofar as this is required to fulfill legal obligations.

## **6 STORAGE PERIOD OF THE PERSONAL DATA**

As a matter of principle, your personal data will be deleted or made anonymous as soon as it is no longer required for the above-mentioned purposes and we are not obliged by law to continue storing it. For data collected in connection with general meetings, the retention period is regularly up to 5 years. Beyond this, CORESTATE Capital Holding S.A. only retains personal data if this is necessary in connection with claims asserted against the Company (statutory limitation period of up to 10 years) or if this is necessary due to retention obligations under commercial and tax law (the statutory retention obligation is 10 years).

## **7 YOUR RIGHTS**

### **Information**

At the above address of the data protection officer, you can request information about all personal data stored about you in accordance with Art. 15 GDPR.

## **Rectification / deletion / restriction of processing**

You also have the right to rectify inaccurate personal data and - taking into account the purposes of the processing - to complete incomplete personal data (Article 16 GDPR). In addition, you may request the deletion of your data if we no longer have a legal basis for processing them or if we are legally obliged to delete them (Article 17 GDPR) or request a restriction of processing (18 GDPR). The right to restriction of processing exists in particular (i) if you contest the accuracy of your personal data, for a period enabling us to verify the accuracy of the personal data, (ii) if the processing is unlawful and you object to the deletion of the data, (iii) if we intend to delete your personal data, but you need your data for the assertion, exercise or defense of legal claims, or (iv) if you have objected to the processing as long as it has not yet been determined whether our legitimate grounds outweigh yours. These rights can also be exercised at the above address of the Data Protection Officer.

## **Objection**

If your data is processed for the purpose of safeguarding legitimate interests, you may object to this processing at any time by contacting the data protection officer at the above address, provided that reasons arise from your particular situation that conflict with this data processing. Data processing will then be terminated unless we can demonstrate compelling legitimate grounds for the processing which override the interests, rights and freedoms of the data subject, or where the processing serves the purpose of asserting, exercising or defending legal claims.

## **Complaint**

You also have the option of contacting the above-mentioned data protection officer or a European data protection supervisory authority of your choice with information or complaints (Art. 77 GDPR). The data protection supervisory authority responsible for CORESTATE Capital Holding S.A. is:

## **National Commission for Data Protection**

15, Boulevard du Jazz  
L-4370 Belvaux  
Tel.: (+352) 26 10 60-1  
E-mail: [info@cnpd.lu](mailto:info@cnpd.lu)  
<https://cnpd.public.lu/de.html>



In the event of relevant changes, we will update the information contained in this document with effect for the future. We therefore recommend that you re-read this privacy notice at regular intervals.

Status of this information: May 2022