

**Compliance with data protection regulations is very important for Corestate Capital Holding S.A. With this Data Protection Statement, we are informing you about the processing of your personal data by Corestate Capital Holding S.A. and the rights to which you are entitled under data protection law.**

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## **1 INFORMATION REGARDING THE DATA CONTROLLER**

The controller responsible for data processing is:

**CORESTATE Capital Holding S.A.**, 4, Rue Jean Monet, 2180 Luxembourg

You can contact the data protection officer of CORESTATE Capital Holding S.A. as follows:

By mail: CORESTATE Capital Holding S.A., Data Protection Officer, Friedrich-Ebert-Anlage 35–37, 60327 Frankfurt, Germany

By email: [datenschutz@corestate-capital.com](mailto:datenschutz@corestate-capital.com)

## **2 CATEGORIES OF PERSONAL DATA PROCESSED**

The shares of CORESTATE Capital Holding S.A. are bearer shares. Therefore, no share register is kept.

In preparing its Annual General Meeting, CORESTATE Capital Holding S.A. collects personal data (personal information such as the name, address and other contact details of the shareholder; if applicable, the name and address of the shareholder representative authorized by the respective shareholder; administrative data, such as the number of shares, type of ownership of the shares and data on attendance behavior, such as attendance at the Annual General Meeting and submission of counterproposals). CORESTATE Capital Holding S.A. processes the data provided by the shareholders as part of their registration for the Annual General Meeting, or gathered during the Annual General Meeting, or transmitted to CORESTATE Capital Holding S.A. by the custodian institutions on behalf of the shareholders for this purpose.

## **3 PURPOSES AND LEGAL BASIS FOR THE PROCESSING OF PERSONAL DATA**

CORESTATE Capital Holding S.A. processes your personal data in order to prepare and carry out the Annual General Meeting and to enable shareholders or their representatives to participate in the Annual General Meeting and to exercise their rights as part of the Annual General Meeting. Furthermore, your personal data will also be processed to fulfill other legal obligations to which CORESTATE Capital Holding S.A. is subject. This includes compliance with the regulatory requirements of Luxembourg and Germany as well retention obligations related to Luxembourg stock corporation, commercial and tax law. For example, when authorizing proxies, it is mandatory that the data used to prove the authorization be verifiably recorded and stored for a certain period of time.

The legal basis for processing in the aforementioned cases is Art. 6 (1) sentence 1 (f) of the GDPR.

Furthermore, personal data are processed in the legitimate interest of CORESTATE Capital Holding S.A. if this is necessary in connection with the assertion, exercise or defense of legal claims by the company.

The legal basis for this processing is Art. 6 (1) sentence 1 (c) of the GDPR.

If we intend to process your personal data for other purposes, you will be informed in advance within the framework of the statutory provisions.

## **4 OBLIGATION TO PROVIDE PERSONAL DATA**

If you exercise your shareholder rights (for example, by participating in the Annual General Meeting), you are legally required to disclose your personal data. You will not be able to exercise your rights without providing the legally required personal data.

## **5 CATEGORIES OF RECIPIENTS**

In order to technically and organizationally carry out the Annual General Meeting, CORESTATE Capital Holding S.A. uses external service providers who are based in the European Economic Area (EEA) and to whom your data is transmitted (to print and send shareholder notifications, receive registrations and proxies or carry out the Annual General Meeting). We are also supported in the preparation and conduct of the Annual General Meeting by lawyers and notaries, to whom your personal data is disclosed for this purpose.

If you attend the Annual General Meeting, other shareholders can view your personal data entered in the list of participants. Furthermore, CORESTATE Capital Holding S.A. may be legally obliged to publish your name on its homepage if you make use of certain shareholder rights (for example, submitting requests for additions to the agenda).

In addition, it may be necessary to transfer your personal data to authorities (such as Luxembourg and German supervisory authorities) insofar as this is necessary to fulfill legal obligations.

## **6 STORAGE PERIOD OF PERSONAL DATA**

In principle, your personal data will be deleted or anonymized as soon as they are no longer required for the aforementioned purposes and we are not required by legal retention obligations to continue storing your data. For the data collected in connection with annual general meetings, the retention period is generally up to five years. In addition, CORESTATE Capital Holding S.A. only stores personal data if this is necessary in connection with claims asserted against the company (statutory limitation period of up to ten years) or if this is necessary due to commercial and tax retention obligations (the statutory retention obligation is ten years).

## **7 YOUR RIGHTS**

### **Right of Access**

You can request information on all data stored about you by contacting the data protection officer at the aforementioned address in accordance with Art. 15 of the GDPR.

### **Rectification/Erasure/Limitation of Processing**

You also have the right to rectify inaccurate personal data and – taking into account the purposes of the processing – to have incomplete personal data completed (Art. 16 GDPR). In addition, you can

request the erasure of your data if we no longer have the legal basis for their processing or if we are legally obliged to erase them (Art. 17 GDPR) or you may demand a restriction of processing (Art. 18 GDPR). In particular, you have the right to obtain restriction of processing if (i) you dispute the accuracy of your personal data for a period of time that allows us to verify the accuracy of the personal data, (ii) the processing is unlawful and you object to the erasure of the data, (iii) if we intend to erase your personal data but you need your data to establish, exercise or defend legal claims, or (iv) if you have objected to the processing, as long as it is not yet clear whether our legitimate grounds outweigh yours. You can also exercise these rights by contacting the data protection officer at the aforementioned address.

## **Objection**

If your data is processed to protect legitimate interests, you can object to this processing at any time by contacting the data protection officer at the aforementioned address if your particular situation gives rise to grounds that stand in the way of this data processing. In that case, data processing will be terminated unless we can prove compelling legitimate grounds for processing that outweigh the interests, rights and freedoms of the data subject, or if the processing serves the establishment, exercise or defense of legal claims.

## **Complaints**

You also have the option of contacting the aforementioned data protection officer or a European data protection supervisory authority of your choice if you wish to provide information or lodge a complaint (Art. 77 GDPR). The data protection supervisory authority responsible for CORESTATE Capital Holding S.A. is:

### **National Commission for Data Protection**

1, avenue du Rock'n'Roll  
4361 Esch-sur-Alzette, Luxembourg  
Telephone: +352 26 10 60-1  
<https://cnpd.public.lu/en.html>

In the event of relevant changes, we will update the information contained in this document with effect for the future. We therefore recommend that you reread this Data Protection Statement at regular intervals.

Information current as of May 2020